

Current and Emerging Trends in Financial Services Regulation

Date	01/09/2021 – 31/12/2021
Venue	Online
Fee	€95
CPD Hours	1 hour General (By eLearning)
Event Code	S2151

Aim and Objectives

This seminar has been designed and will be delivered by expert international financial services (IFS) solicitors and professionals who will update delegates on the current and emerging trends in financial services regulation. This is essential for all IFS professionals and in-house IFS Counsel looking to update their knowledge of current regulation issues.

Topics include

- **Anti-Money Laundering & GDPR – in house observations**
 - 5AMLD and implementing CJA 2021 – main implementations for payments firms
 - AML supervision – recent focus themes for regulators
 - Compliance on a large scale – operational challenges
 - GDPR – practical impact on AML compliance
- **ESG**
 - What is ESG?
 - Sustainability is not just protecting the planet
 - EU Sustainable Finance regulatory initiatives
 - ESG and the financial system and actors
 - Compliance, governance and legal risk
- **Consumer protection,**
 - Consumer Protection as a Central Bank Priority – Consumer Protection Outlook 2021 Overview
 - Consumer Protection during Covid (to cover payment breaks)
 - Consumer Protection and expectations post-Covid – in general and as regards consumers impacted by Covid.
- **Culture**
 - Driving the right behaviours: Rules or Principles?
 - Planning for SEAR
 - Documenting culture at Board
 - The future of the diversity mandate

Learning Outcomes

On completion of the seminar participants will have a knowledge and awareness of current and emerging trends in financial services regulation. To include: anti-money laundering; ESG; consumer protection and culture.

Chairperson

Sinead Ovenden, Partner PwC Ireland

Speakers and panelists

Alison Bradshaw, Head of Products Legal Services, AIB.

Alison has extensive experience as a financial services law practitioner, both in-house and in private practice. She is a member of the senior management team for AIB's Legal, Corporate Governance and Customer Care unit and the Head of Products Legal Services within the unit. In this role Alison leads the teams that provide legal support for AIB's products, horizon-scanning and regulatory implementation support and data protection legal advice. Alison previously led the AIB legal team supporting SME lending transactions. Prior to joining AIB, she worked in a top Dublin law firm where she practised in their Banking and Financial Services department. Alison holds a degree in Law and French from Trinity College, Dublin, a Diploma in Financial Services Law and a Professional Certificate in Consumer Protection Risk, Culture and Ethical Behaviour in Financial Services. She is a former committee member of Women in Banking and Finance in Ireland and a current member of the Law Society's In-house and Public Sectors Committee.

Ruth McCarthy, CEO Fexco Corporate Payments and Non-Executive Director of Goodbody Stockbrokers

Ruth McCarthy is CEO of FEXCO Corporate Payments and a director of Goodbody stockbrokers. FEXCO Corporate payments and Goodbody form part of the FEXCO Group: a financial services and outsourcing specialist that processes over 100 million transactions each year. Prior to joining FEXCO Ruth worked as a solicitor with Arthur Cox where she specialised in financial regulation. Ruth is a member of the board of the American Chamber of Commerce Ireland, the advisory council of the Fintech and Payments Association of Ireland and the Industry Advisory Group for the Irish government's Ireland for Finance strategy for financial services.

Ann Shiels, Associate A&L Goodbody Solicitors, Dublin

Ann Shiels is a solicitor in A&L Goodbody. Her current role is a knowledge lawyer supporting clients and lawyers in the Asset Management & Investment Funds team in applying current, and tracking new, law, regulation and policy in the Irish and European investment funds industry. Ann has a particular interest in sustainable finance and its impact on regulated financial service clients and holds a certificate in Sustainable Finance from the University of Cambridge's Institute for Sustainability Leadership.

Feidhlimidh Wrafter, Assistant General Counsel Western Union

Feidhlimidh is currently responsible for running the Global Coordination Office in Western Union's Legal, Compliance and Enterprise Risk Department, advising and assisting shared corporate functions with stakeholder coordination and implementation of projects which have global impact on regulatory relationships, governance and risk mechanisms, licensing governance and strategy. Between 2013 and March 2021, Feidhlimidh acted as Head of Legal of WUPSIL, Western Union's main regulated entity in Europe, advising its Board and senior management regarding all aspects of its governance, legal and regulatory affairs to mitigate and manage legal risk across the EEA under its Central Bank of Ireland (CBI) payment services licence. From 2013 to 2017 his role included acting as WUPSIL's Head of Regulatory Compliance (CBI PCF12). Prior to joining Western Union, Feidhlimidh acted as Senior Counsel in Irish Bank Resolution Corporation (IBRC, formerly Anglo Irish Bank), advising on large litigation and restructuring matters following the collapse of the bank in the 2008 financial crisis. Before that, he was Senior Associate in the Litigation and Dispute Resolution Department of A&L Goodbody, one of Ireland's leading law firms. He also trained there, having graduated from Trinity College Dublin with an Honours Degree in Law and German in 1998.

IMPORTANT

- On receipt of payment, login details will be sent to you by a member of our team via your provided email address. If you have not received login details within 2 working days of your booking please contact us on finuasskillnet@lawsociety.ie or call the Law Society Finuas Skillnet Team in Dublin on 01 881 5727
- This course must be completed in full to avail of the CPD hours on offer – a partially completed course will not qualify for any CPD accreditation.
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